

Census Bureau, Commerce

Pt. 30, App.A

Schedule C—E—Classification of Country and Territory Designations for U.S. Export Statistics. Free from the Bureau of the Census, Washington, D.C. 20233.

Schedule C—I—Classification of Country and Territory Designations for U.S. Import Statistics. Free from the Bureau of the Census, Washington, D.C. 20233.

Schedule D—Classification of Customs Districts and Ports. Free from the Bureau of the Census, Washington, D.C. 20233.

(13 U.S.C. 302; 5 U.S.C. 301; Reorganization Plan No. 5 of 1950; Department of Commerce Organization Order No. 35-2A, Aug. 4, 1975, 40 FR 42765)

[41 FR 9134, Mar. 3, 1976, as amended at 42 FR 59840, Nov. 22, 1977; 43 FR 56031, Nov. 30, 1978; 44 FR 1971, Jan. 9, 1979]

§ 30.93 Emergency exceptions.

In individual cases of emergency, where strict enforcement of the regulations in this part would create undue hardship, the Foreign Trade Division of the Bureau of the Census, with the concurrence of the Office of Export Administration in cases where export control requirements are also involved, may authorize such postponements of or exceptions to the requirements of the regulation in this part as are warranted by the circumstances and not inconsistent with the aims of this chapter.

§ 30.94 Instructions to Customs.

Instructions of a continuing nature to Customs with respect to the forwarding of statistical copies of forms and the preparation of special statistical reports not involving requirements upon the public will not be included in the regulations in this part, but will, instead be transmitted to Customs through appropriate administrative channels.

§ 30.95 Penalties for violations.

Any person who violates any provisions of this part, except for violations of the provisions relating to delayed filing of documents under bond as provided by § 30.24, shall be liable to the United States in civil penalty not exceeding \$1,000 for each violation, as authorized by section 305 of Chapter 9 of Title 13 of the United States Code.

§ 30.99 OMB control numbers assigned pursuant to the Paperwork Reduction Act.

(a) *Purpose.* This subpart will comply with the requirements of section 3507(f) of the Paperwork Reduction Act (PRA) which requires that agencies display a current control number assigned by the Director of OMB for each agency information collection requirement.

(b) *Display.*

15 CFR section where identified and described	Current OMB control no.
30.1 through 30.7	0607-0001, -0018, -0150, -0152
30.8	0607-0001
30.9 through 30.11	0607-0001, -0018, -0152
30.12 and 30.15	0607-0001, -0018, -0152
30.16	0607-0001, -0018, -0150, -0152
30.20 through 30.24	0607-0001, -0018, -0150, -0152
30.30 through 30.31	0607-0018, -0150, -0152
30.33 through 30.35 and 30.37	0607-0001, -0018, -0150, -0152
30.39	0607-0018, -0150, -0152
30.40, 30.41, and 30.50 through 30.53	0607-0001, -0018, -0150, -0152
30.54	0607-0018
30.55 through 30.57	0607-0001, -0018, -0150, -0152
30.82	0607-0018, -0152
30.91 through 30.95	0607-0001, -0018, -0150, -0152

[48 FR 56744, Dec. 23, 1983]

APPENDIX A TO PART 30—FORMAT FOR LETTER OF INTENT, AUTOMATED EXPORT SYSTEM (AES)

A. Letters of Intent should be on company letterhead and must include:

1. Company Name, Address (no P.O. Boxes), City, State, Postal Code
2. Company Contact Person, Phone Number, Fax Number, E-mail Address
3. Technical Contact Person, Phone Number, Fax Number, E-mail Address
4. Corporate Office Address, City, State, Postal Code
5. Computer Site Location Address, City, State, Postal Code
6. Type of Business—Exporter, Freight Forwarder/Broker, Carrier, NVOCC, Port Authority, Software Vendor, Service Center, etc. (Indicate all that apply.)
 - (i) Are you currently an AERP Participant? What is the AERP symbol?
 - (ii) Freight Forwarder/Brokers indicate the number of exporters for whom you file export information (AERP and SEDs).
 - (iii) Exporters indicate whether you are applying for AES, Option 4 filing, or both.
7. U.S. Ports of Export Currently Utilized
8. Average Monthly Volume of Export Shipments (Monthly SED volume)
9. Average Monthly Value of Export Shipments (Monthly SED volume)

Pt. 30, App. B

10. Filer Code—EIN, DUNS, SSN, or SCAC (Indicate all that apply.)
11. Software Vendor Name, Contact, and Phone Number (if using vendor provided software)
12. Look-a-Like Remote to Copy (as provided by vendor)
13. Modes of Transportation used for export shipments (Air, Vessel, Truck, Rail, etc.)
14. Types of Merchandise exported
15. Types of Licenses or Permits
16. Anticipated Implementation Date

B. The following self-certification statement, signed by an officer of the company, must be included in your letter of intent: "I hereby certify that *Company Name* is, and will continue to be, in compliance with all applicable laws and regulations."

C. Send AES Letter of Intent to: Chief, Foreign Trade Division, U.S. Census Bureau, Washington, DC 20233. Or, the copy can be faxed to: 301-457-1159.

[64 FR 40981, July 28, 1999]

**APPENDIX B TO PART 30—REQUIRED
PRE-DEPARTURE DATA ELEMENTS
FOR FILING OPTION 3**

- (1) Identifier of Exporter—EIN, etc.
- (2) Forwarding Agent I.D.—EIN, etc.
- (3) Carrier I.D. (SCAC or IATA).
- (4) Country of Ultimate Destination—ISO code.
- (5) Name of Ultimate Consignee.
- (6) (a) Commodity description or (b) Optional—Schedule B No. or HTS code
- (7) Shipment reference number (17 characters or less). The filer of the export shipment provides a unique shipment reference number that allows for the identification of the shipment in their system. This shipment reference number must be unique for five years.
- (8) Intended U.S. Port of Export
- (9) Estimated Date of Export
- (10) Transportation Reference Number, e.g., vessel booking number
- (11) Method of Transportation (MOT) code
- (12) HAZMAT—Y/N
- (13) License code
- (14) Export License Number

[64 FR 40981, July 28, 1999]

**APPENDIX C TO PART 30—ELECTRONIC
(AES) FILING CODES**

Part I—Method of Transportation Codes

- 10 Sea
- 11 Sea Containerized
- 12 Sea (Barge)
- 20 Rail
- 21 Rail Containerized
- 30 Truck
- 31 Truck Containerized
- 32 Auto

15 CFR Subtitle B, Ch. I (1-1-02 Edition)

- 33 Pedestrian
- 34 Road, Other
- 40 Air
- 41 Air Containerized
- 50 Mail
- 60 Passenger, Hand Carried
- 70 Fixed Transport (Pipeline and Powerhouse)

Part II—Export Information Codes

- LC Shipments valued \$2,500 or less per classification number that are required to be reported
- TP Temporary exports of domestic merchandise
- IP Shipments of merchandise imported under a Temporary Import Bond for further manufacturing or processing
- IR Shipments of merchandise imported under a Temporary Import Bond for repair
- DB Drawback
- CH Shipments of goods donated for charity
- FS Foreign Military Sales
- OS All other exports
- HV Shipments of personally owned vehicles
- HH Household and personal effects
- SR Ship's stores
- TE Temporary exports to be returned to the United States
- TL Merchandise leased for less than a year
- IS Shipments of merchandise imported under a Temporary Import Bond for return in the same condition
- CR Shipments moving under a carnet
- GP U.S. government shipments
- LV Shipments valued \$2,500 or less that are not required to be reported
- SS Carriers' stores for use on the carrier
- MS Shipments consigned to the U.S. Armed Forces
- GS Shipments to U.S. government agencies for their use
- DP Diplomatic pouches
- HR Human remains
- UG Gift parcels under Bureau of Export Administration License Exception GFT
- IC Interplant correspondence
- SC Instruments of international trade
- DD Other exemptions:
Currency
Airline tickets
Bank notes
Internal revenue stamps
State liquor stamps
Advertising literature
Shipments of temporary imports by foreign entities for their use
- RJ Inadmissible merchandise
(For Manifest Use Only by AES Carriers)
- RP Shipment information filed through Census Bureau's AERP
- AE Shipment information filed through AES
(See §§30.50 through 30.58 for information on filing exemptions.)

Census Bureau, Commerce

§ 40.1

Part III—License Codes

Department of Commerce, Bureau of Export Administration (BXA) Licenses

C30 BXA Licenses
C31 SCL
C32 NLR (CCL/NS Column 2)
C33 NLR (All Others)
C34 Future Use
C35 LVS
C36 GBS
C37 CIV
C38 TSR
C39 CTP
C40 TMP
C41 RPL
C42 GOV
C43 GFT
C44 TSU
C45 BAG
C46 AVS
C47 APR
C48 KMI
C49 TAPS
C50 ENC

Nuclear Regulatory Commission (NRC) Codes

N01 NRC Form 250/250A
N02 NRC General License

Department of State, Office of Defense Trade Controls (ODTC) Codes

SAG Agreements
S00 License Exemption Citation
S05 DSP-5
S61 DSP-61
S73 DSP-73
S85 DSP-85

Department of Treasury, Office of Foreign Assets Control (OFAC) Codes

T10 OFAC Specific License
T11 OFAC General License

Other License Types

OPA Other Partnership Agency Licenses
not listed above

Part IV—In-Bond Codes

70 Not-In-Bond
36 Warehouse Withdrawal for Immediate
Exportation
37 Warehouse Withdrawal for Transpor-
tation and Exportation
62 Transportation and Exportation
63 Immediate Exportation
67 Immediate Exportation from a Foreign
Trade Zone
68 Transportation and Exportation from a
Foreign Trade Zone

[64 FR 40982, July 28, 1999]

PART 40—TRAINING OF FOREIGN PARTICIPANTS IN CENSUS PRO- CEDURES AND GENERAL STATIS- TICS

Sec.

- 40.1 Type of grant.
- 40.2 Qualifications.
- 40.3 Cooperation with bilateral technical as-
sistance programs of the United States.
- 40.4 Administrative provisions on selection
of participants and funding of costs.
- 40.5 Other cooperative arrangements.

AUTHORITY: 5 U.S.C. 301; 22 U.S.C. 1456; 31 U.S.C. 686. Memorandum of Agreement be-
tween the Department of Commerce and the
Foreign Operations Administration Con-
cerning Foreign Technical Assistance Work,
signed June 10, 1954.

SOURCE: 28 FR 119, Jan. 4, 1963, unless oth-
erwise noted.

§ 40.1 Type of grant.

Training grants will be awarded by
the Agency for International Develop-
ment (AID), in its capacity as the bi-
lateral technical assistance agency for
the United States Government, to for-
eign participants for training, observa-
tion, and research in the fields of cen-
suses and statistics at the Bureau of
the Census. In compliance with the
needs of the participants and con-
sistent with resources of the Bureau,
training programs will be developed
along the lines of a combined interne-
training and/or training-in research
types, and may include any or all of
the following:

(a) Conference courses designed to
provide the trainee with adequate
background information on (1) organi-
zation and administration of the
United States Bureau of the Census, (2)
subject-matter areas for which the Bu-
reau of the Census collects and com-
piles statistical information, (3) nature
and scope of the major statistical pro-
grams maintained by other federal gov-
ernment agencies, (4) techniques and
scope of the periodic censuses and sta-
tistical surveys, and statistical com-
pilations undertaken by the Bureau of
the Census, and (5) relation of censuses
to other statistical data collected and
analyzed by U.S. agencies.

(b) Seminars laboratory exercises and
observation of work in the Census Bu-
reau and other agencies with specific